Docket No.: VALTX.002A

APR 3 0 2004

## INFORMATION DISCLOSURE STATEMENT

Applicant

Jonathan Kagan et al.

App. No.

10/699,589

Filed

October 31, 2003

For

APPARATUS AND METHODS FOR

TREATMENT OF MORBID OBESITY

Examiner

Unknown

Group Art Unit

3762

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

April 28, 2004

(Date)

ard von Hoffmann, Reg/No. 33,043

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 34 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/28/04

Gerard von Hoffmann /

Registration No. 33,043

Attorney of Record

Customer No. 20,995

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FORM PTO-1949 APR 3 0 2004 67

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO. VALTX.002A APPLICATION NO. 10/699,589

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT APPLICANT Install Properties Name

Jonathan Kagan et al.

FILING DATE October 31, 2003 GROUP 3762

## **U.S. PATENT DOCUMENTS** DOCUMENT NUMBER DATE CLASS SUBCLASS FILING DATE **EXAMINER** NAME (IF APPROPRIATE) INITIAL 02/1977 4,006,747 Kronenthal et al. 2 4,134,405 01/1979 Smit 3 4,235,238 11/1980 Ogiu et al. 02/1982 4 4,315,509 Smit Rockey 5 4,501,264 02/1985 02/1992 6 5,085,661 Moss 5,306,300 04/1994 Berry 8 5,820,584 10/1998 Crabb 9 01/1999 5,861,036 Godin 10 6,254,642 B1 07/2001 Taylor 6,264,700 B1 07/2001 Kilcoyne et al. 11 05/2002 12 6,387,104 B1 Pugsley, Jr. et al. 6,447,533 B1 09/2002 13 Adams 6,595,911 B2 07/2003 LoVuolo 15 2001/0020189 A1 09/2001 Taylor 2001/0020190 A1 09/2001 16 Taylor 17 2002/0082621 A1 06/2002 Schurr et al. 2002/0183768 A1 12/2002 Deem et al. 18 12/2002 19 2002/0188354 A1 Peghini 20 2003/0040804 A1 02/2003 Stack et al. 21 2003/0040808 A1 02/2003 Stack et al. 22 2003/0065359 A1 04/2003 Weller et al. 23 2003/0093117 A1 05/2003 Saadat 24 2003/0109892 A1 06/2003 Deem et al. 25 2003/0109931 A1 06/2003 Geitz 26 2003/0199989 A1 10/2003 Stack et al. 27 2003/0199990 A1 10/2003 Stack et al. 28 2003/0199991 A1 10/2003 Stack et al.

EXAMINER
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DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

**FORM PTO-1449** 

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY.	DOCKET	NO.
VAL.	TX.002A	

APPLICATION NO. 10/699,589

## INFORMATION DISCLOSURE STATEMENT

**BY APPLICANT** 

APPLICANT Jonathan Kagan et al.

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE October 31, 2003 GROUP 3762

FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL							YES	NO
	29	WO 80/00007	01/1980	WIPO 3				
	30	WO 03/086246 A1	10/2003	WIPO				
	31	WO 03/086247 A1	10/2003	WIPO				
	32	WO 03/094785 A1	11/2003	WIPO				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
		Antireflux operations at flexible endoscopy using endoluminal stitching techniques: an experimental study, Sritharan S. Kadirkamanathan et al., Gastrointestinal Endoscopy, Volume 44, No. 2, 1995 pp. 133-143				
	34	Endoscopic suturing, C. Paul Swain MD, <u>Balliere's Clinical Gastroenterology</u> , Vol. 13, No. 1. pp 97-108, 1999				

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